

2874  
#4  
410-02

Atty. Docket No. COR20 P407

O I P E J C 0 7  
JAN 28 2002  
U.S. PATENT OFFICE

CERTIFICATE OF MAILING

I hereby certify that this paper, together with all enclosures identified herein, are being deposited with the United States Postal Service as first class mail, addressed to the Assistant Commissioner for Patents, Washington D.C. 20231, on the date indicated below.

Date 1/7/2002 Kimberley J. Cousineau  
Kimberley J. Cousineau

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit	: 2874
Applicant	:
Appln. No.	: 09/967,315
Filed	: September 28, 201
Confirmation No.	: 2874
For	: MULTIPLE POLARIZATION COMBINER-SPLITTER-ISOLATOR AND METHOD OF MANUFACTURING THE SAME

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JAN 31 2002  
TECHNOLOGY CENTER 28000

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97(b)

Pursuant to 37 CFR §§1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached Form PTO-1449. This Information Disclosure Statement is being filed more than three months after the filing date of the above-referenced application but, to the undersigned's knowledge, before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed document are submitted herewith along with Form PTO-1449. Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Applicant :  
Appln. No. : 09/967,315  
Page -2-

If there is any fee due in connection with the filing of this Statement, please charge the fee to our  
Deposit Account No. 16-2463.

Respectfully submitted,

By: Price, Heneveld, Cooper,  
DeWitt & Litton

Jan. 7, 2002  
Date

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Sheet 1 of 2

FORM PTO-1449  
(Rev. 2-32)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
COR20 P407SERIAL NO.  
09/967,315INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
(Use several sheets if necessary)APPLICANT  
*HELLMAN et al*FILING DATE  
September 28, 2002GROUP  
2874

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>828</i>	6 1 7 5 6 6 8	01/16/2001	Borrelli et al.			
	6 1 3 4 3 6 0	10/17/2000	Cheng et al.			
			<i>DUPLICATE</i>			
<i>828</i>	6 0 3 8 3 5 7	03/14/2000	Pan			
<i>828</i>	6 0 1 4 2 5 6	01/11/2000	Cheng			
<i>828</i>	5 7 4 0 2 8 8	04/14/1998	Pan			
	5 6 9 2 0 8 1	11/25/1997	Takahashi			
			<i>DUPLICATE</i>			
<i>828</i>	5 4 8 5 2 7 2	01/16/1996	Dirksen et al.			
<i>828</i>	5 4 2 4 8 6 3	06/13/1995	Gertel			
<i>828</i>	5 4 0 0 1 6 4	03/21/1995	Kurtzke et al.			
<i>828</i>	5 3 8 6 3 1 4	01/31/1995	Jopson			
<i>828</i>	4 9 7 3 1 2 4	11/27/1990	Kaede			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES NO
<i>828</i> WO 0 1 0 9 6 5 5	02/08/2001				

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

*Sarah Y. Long*

DATE CONSIDERED

*17 Jul 03*

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])



Sheet 2 of 2

FORM PTO-1449  
(Rev. 2-32)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.  
COR20 P407

SERIAL NO.  
09/967,315

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
(Use several sheets if necessary)

APPLICANT  
*HEILMAN et al*

FILING DATE  
September 28, 2001

GROUP  
2874

### U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>8u8</i>	6 1 9 2 1 7 5	02/20/2001	Li et al.			
<i>8u8</i>	6 1 7 3 1 0 6	01/09/2001	DeBoynton et al.			
<i>8u8</i>	6 1 3 4 3 6 0	10/17/2000	Cheng et al			
<i>8u8</i>	6 0 8 4 9 9 4	07/04/2000	Li et al.			
<i>8u8</i>	5 9 1 7 6 2 6	06/29/1999	Lee			
<i>8u8</i>	5 7 9 9 1 2 1	08/25/1998	Duck et al.			
<i>8u8</i>	5 7 6 8 4 5 8	06/16/1998	Ro et al.			
<i>8u8</i>	5 7 3 7 1 0 4	04/07/1998	Lee et al.			
<i>8u8</i>	5 6 9 2 0 8 1	11/25/1997	Takahashi			
<i>8u8</i>	5 6 8 2 4 5 2	10/28/1997	Takahashi			
<i>8u8</i>	5 6 7 5 6 8 3	10/07/1997	Takahashi et al.			
<i>8u8</i>	5 6 1 9 6 0 5	04/08/1997	Ueda et al.			
<i>8u8</i>	5 6 1 5 0 5 2	03/25/1997	Doggett			
<i>8u8</i>	5 6 0 4 6 2 9	02/18/1997	Hunter et al.			
<i>8u8</i>	5 5 0 2 7 8 1	03/26/1996	Li et al.			

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### FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES NO

### OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

<i>8u8</i>	<input checked="" type="checkbox"/>	Structural Analysis in Microelectronics and Fiber Optics - 1997- (Pages 34-54 of The Electrical and Electronic Packing Division - Vol. 21; The American Society of Mechanical Engineers)
<i>8u8</i>	<input checked="" type="checkbox"/>	8 pages from <a href="http://www.ma.man.ac.uk/~hewitt/experiments/html">www.ma.man.ac.uk/~hewitt/experiments/html</a> ✓
<i>8u8</i>	<input checked="" type="checkbox"/>	5 pages From Fluent Incorporated Website ("Flow Past a Circular Cylinder")
<i>8u8</i>	<input checked="" type="checkbox"/>	Publication entitled <b>APPLICATIONS OF GRIN-ROD LENSES IN OPTICAL FIBER COMMUNICATIONS SYSTEMS</b> (Pages 1127 -1136; dated April 1980; Applied Optics Vol. 19, No. 7, 1 April 1980)

EXAMINER

*Sarah M. Long*

DATE CONSIDERED

*17 Jul 03*

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(Form PTO-1449 [6-4])